July 2018

R01: Financial services, regulation and ethics study text, 2018-19 edition

This edition of the study text is based on the 2018–19 examination syllabus and forms the study material for exams to be sat from 1 September 2018 until 31 August 2019.

Updates and amendments have been made throughout the text; where relevant, web updates issued for the 2017–18 edition have been included.

A summary of where the principal changes have been made is given below:

Chapter 1: sections B2A, B2C, C.

Chapter 2: new section A9; previous section B divided into 7 new sections, with edits throughout.

Chapter 3: sections B1A, E1, F1, F2, F4, H2, H4C.

Chapter 4: sections C, C2, C3; new section C8; section D3.

Chapter 5.1: sections A, A4, E1.

Chapter 5.2: sections F1C, G1, H, H2A; new section H6; section K.

Chapter 6: sections E2A, F, I1.

Chapter 7: sections A2A, A2C, A3, A5A, A6A; new sections A8, A9.

Chapter 8: sections A4, D2.

Chapter 9: sections A, C4; new section C6.

Chapter 10: sections A1, A5, B2, B3C, C.

Questions/answers, websites, key terms/points, cases, legislation and index: amended where relevant.

We hope this information is helpful to you.

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