

85. When client identification is being carried out by an authorised firm for an online investment of £20,000, what information **must** be verified?
- A. The client's name only.
 - B. The client's date of birth only.
 - C. The client's date of birth and address only.
 - D. The client's name and address or date of birth.

Key Option: D

Learning Outcome: 13.01

93. The requirement to comply with data protection legislation applies to
- A. all those who process personal data, unless that data is exempt.
 - B. companies that only process personal data for calculating and paying wages.
 - C. only HM Revenue & Customs and other Government agencies.
 - D. only those who process personal data on computer.

Key Option: A

Learning Outcome: 15.01

94. Under data protection legislation, an individual who has suffered damage as a result of a data breach may be awarded compensation by the
- A. courts.
 - B. Department for Digital, Culture, Media & Sport.
 - C. Financial Ombudsman Service.
 - D. Information Commissioner.

Key Option: A

Learning Outcome: 15.01