

2013 - Getting back to business

CII Autumn roadshow

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Chartered Insurance Institute

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Chartered Insurance Institute

CII

- Awarding Body (regulated by OfQual)
- Professional Body (run by its members)
- Accredited body (regulated by the FSA)

To protect the public by guiding the profession

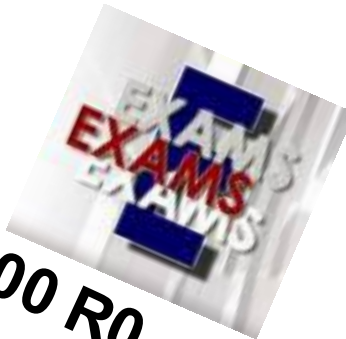
2013, we're nearly there !



**18,211 CII Diploma
qualified individuals**



3,192 individuals



**c15,000 R0 exams
spaces left until
the end of the year.**



**Gap Fill tool needs to
be completed by year end**

At the CII so far. . . .

Issued 10,569 SPS to verified individual financial advisers by the end of August



Topics to discuss today

- CII Statement of Professional Standing process
- CPD – what is required . . . and importantly what isn't
- Chartered Status is it for you ?
- CII PFS Membership – what's included

Statement of Professional Standing

The FSA requires that all retail investment advisers have completed the required standard of qualification, including gap fill and have applied for a Statement of Professional Standing (SPS) by 01 January 2013. An SPS confirms that an adviser:

- Has adhered to a **code of ethical standards**
- Holds the **required qualifications** for the activities they undertake (including completion of gap fill if relevant); and
- Has **completed appropriate CPD** and complied with APER (Statements of Principle and Code of Practice for Approved Persons)

Members advising on packaged products and/or securities/derivatives are able to apply for a CII SPS as the centre is live at www.cii.co.uk/sps

Key facts:

- Free to members
- Valid for 12 months
- Expiry linked to membership renewal date
- Supplied as an electronic printable e-certificate

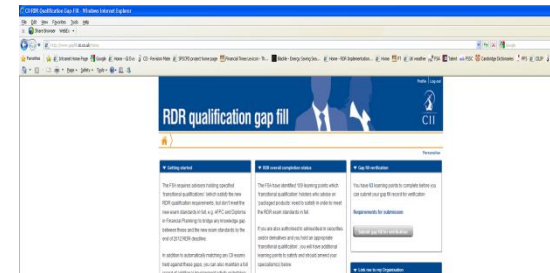
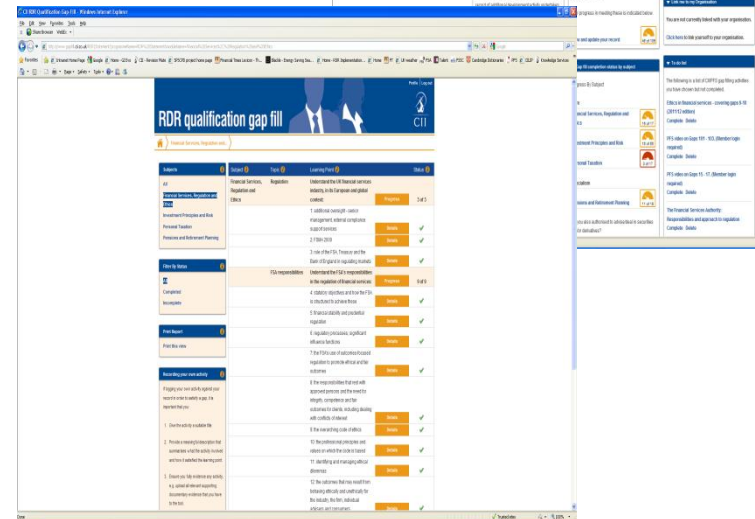
CII Gap Fill 'Tool'



CII Pin No
Online

24 Hr access

All gaps identified and solution provided
Structured Solution



How can the gap be completed?

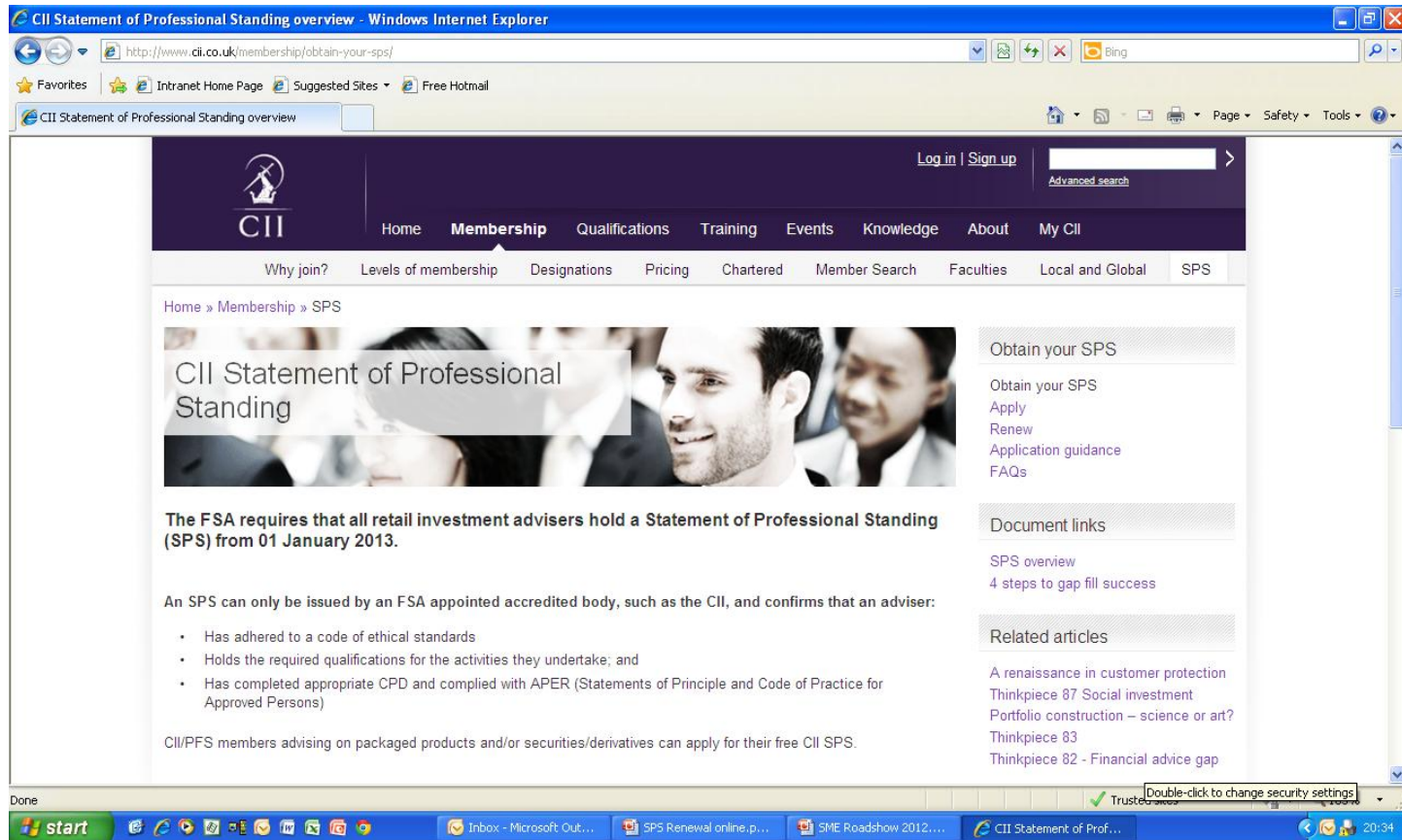
Preferred option:

- Use the CII online material provided

Other options:

- Historic CPD that matches specific learning outcome
- Attended seminars / technical sessions
- Technical podcasts
- Life company / Provider roadshows covering learning outcomes

First time application www.cii.co.uk/sps



In your packs there is a helpful guide

http://www.cii.co.uk/media/1700217/cii_obtaining_a_sps_v2.pdf - Windows Internet Explorer

http://www.cii.co.uk/media/1700217/cii_obtaining_a_sps_v2.pdf

Favorites Intranet Home Page Suggested Sites Free Hotmail

http://www.cii.co.uk/media/1700217/cii_obtaining_a_sps_v2.pdf

Page Safety Tools

rdc compliance

Obtaining a CII SPS

Scenario 1 – no gap fill required
Qualification gap fill is not required as qualifications already meet the RDR exam standards in full.

Relevant to:

- CII Diploma in Regulated Financial Planning holders
- Non-CII 'fully RDR compliant' qualification holders

Non-member → Membership application www.thepfs.org/join

CII/PFS member → SPS application www.cii.co.uk/spa → Electronic SPS issued
Allow up to 7 days

Scenario 2 – gap fill required
Qualification gap fill is required in order to meet the RDR exam standards in full. This must be successfully verified by the CII before submitting an SPS application.

Relevant to:

- CII 'transitional qualification' holders, e.g. Diploma/Advanced Diploma in Financial Planning and AFPC
- Non-CII 'transitional qualification' holders with completed gap fill signed off by another Accredited Body (members go direct to SPS application)
- Non-CII 'transitional qualification' holders completing gap fill with the CII/PFS

Non-member → Membership application www.thepfs.org/join

CII/PFS member → Gap fill undertaken www.cii.co.uk/gapfill → Gap fill record submitted

Electronic SPS issued → SPS application www.cii.co.uk/spa → Successfully verified by CII
Allow up to 7 days Allow up to 60 days

When applying, advisers need to confirm:

- Their FSA Individual Reference Number (this is validated against the FSA Register)
- Which relevant FSA TC Appendix 1.1 activities they undertake
- Which appropriate qualification(s) they hold for activities they undertake (relevant CII qualifications are pre-populated)
- Their adherence to a declaration

Important notes regarding non-CII qualifications:

- CII framework credits are not issued as part of this process and can only be obtained through a CII recognition of prior learning application.
- Members will not be eligible to use the DipPFS membership designation solely as a result of the SPS application process.


Visit the CII SPS application centre at www.cii.co.uk/spa

Ref: CII – SPS001 (01/12) CL 5517

Downloaded (197.49 KB of 233.42 KB) : http://www.cii.co.uk/media/1700217/cii_obtaining_a_sps_v2.pdf

Unknown Zone


start | Inbox - Microsoft Out... | SPS Renewal online.p... | SME Roadshow 2012... | <http://www.cii.co.uk/> | 20:35

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Home **Membership** Qualifications Training Events Knowledge About My CII

Why join? Levels of membership Designations Pricing Chartered Member Search Faculties Local and Global SPS

Home » Membership » SPS » SPS application



Statement of Professional Standing renewal

Here you can renew your CII SPS for the next 12 months.

To renew you must:

1. Be an current member of the CII/PFS;
2. Hold an existing SPS; and
3. Have paid the membership subscription applicable to the year of your SPS renewal.

Important notes - please read before renewing

When renewing your CII SPS you have two options:

1. Renew **without any changes** to your authorised activities; or
2. Renew **with changes** to your authorised activities if these have changed. For example, you are now authorised to advise on securities (activity 2).

Whichever option you choose, you will need to read and agree to the declaration. This includes confirmation that you have complied with the requirements of the Statement of Principles & Code of Practice for Approved Persons requirements of the FSA's handbook "APER" and maintained your Continuing Professional Development (CPD) over the last 12 months.

Obtain your SPS

[Obtain your SPS](#)
[Apply](#)
[Renew](#)
[Application guidance](#)
[FAQs](#)

Document links

[SPS overview](#)
[4 steps to gap fill success](#)




Related qualifications

[Retail-Distribution-Review-rdr-sps-gap-fill](#)


Ready to renew? **Proceed to SPS Application Centre »**

[« Apply](#) [Application guidance »](#)

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HomeMembershipQualificationsTrainingEventsKnowledgeAboutMy CII

CII SPS Application Centre login

Registered Email or PIN:

Password:

☐ Keep me signed in

[Forgotten password](#) | [No password](#)




Statement of Professional Standing application

You have been directed here because you are attempting to access the SPS Application Centre and have not yet logged into the CII website. Please login on the left. If you do not have a user profile with the CII you can create one below.


FAQs | [Contact us](#) | [Accessibility statement](#) | [Privacy statement](#) | [Terms and conditions](#)

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Post-Login landing page – details & renewal options



Welcome Mr John Martin | [Log out](#)

Advanced search

HomeMembershipQualificationsTrainingEventsKnowledgeAboutMy CII

Renew your Statement of Professional Standing (SPS)

SPS Application Centre

Your current SPS which expires on 01/10/2012

Status:	Issued
Your name:	Mr John Martin
Your FSA number:	ACC11111
Your email address:	web@cii.co.uk
Valid for the following period:	From 01/01/2012 to 01/10/2012
Activities included:	Activity 3 - Advising on (but not dealing in) derivatives

Download your SPS certificate

What next?

To renew your CII SPS, you have two options:

1. Renew without change – select this option if nothing has changed since your last SPS application.
2. Renew with amendments – select this option if you have started to undertake a new TC Appendix 1.1 activity.

Renew

Amend and Renew

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CII CPD requirements

- 35 hours of learning
 - Minimum of 21 hours must be 'Structured'
- Structured definition:
 - .. is the undertaking of any formal learning activity designed to meet a specific learning outcome.
- Examples:
 - Lectures
 - Seminars
 - Courses
 - Taking exams
 - E-learning

So what is Unstructured

Definition

. . . is any activity an individual considers has met a learning outcome, but which may not have been specifically designed to meet their development needs.

How should CPD records be kept

- There is no 'must do' way for anyone.
- If you are using the CII as your Accredited Body records can be kept in whatever format you want them to be in.
 - Use your own system, spreadsheets etc.
 - Use the 'free to members' online recording system.
 - Use a purchased CPD system like the CII Financial Assess system.

Minimum time for CPD to be recorded

- The FSA expects individuals to spend at least 30 minutes on any individual CPD activity.
- The CII has decided to adopt this common sense standard for all its member qualification holders as part of the CPD scheme rules.
- Different activities, of less than 30 minutes each, undertaken to meet a specific learning outcome can be combined and recorded together.

CII 'Free to members'

The screenshot shows a Windows Internet Explorer browser window displaying the CII CPD login page. The address bar shows the URL: <http://www.cpdscheme.cii.co.uk/SAML/WebServiceAuthentication/LoginWithWebService?ReturnUrl=%2fHome>. The page features a dark blue header with the CII logo and navigation links: Home, Membership, Qualifications, Training, Events, Knowledge, About, and My CII. Below the header is a large banner image with the text "Continuing Professional Development" and "Compliance. Achievement. Performance." Below the banner is a "CII Login" section with a PIN input field, a Password input field, a "Keep me signed in" checkbox, and a "Login" button. To the right of the login fields is a welcome message: "Welcome to the CII's Continuing Professional Development (CPD) scheme. CPD ensures that you, as a CII/PFS member, keep your skills and knowledge up-to-date and, in turn, remain competent to perform your job. All CII-qualified members are obliged to comply with the scheme's requirements." The Windows taskbar at the bottom shows the Start button and several open applications: Microsoft PowerPoint, Inbox - Microsoft Out..., and CII CPD - Windows In... The system tray on the right shows the date and time as 14:26.

CII CPD - Windows Internet Explorer

http://www.cpdscheme.cii.co.uk/SAML/WebServiceAuthentication/LoginWithWebService?ReturnUrl=%2fHome

Home Membership Qualifications Training Events Knowledge About My CII

Continuing Professional Development

Compliance. Achievement. Performance.

CII Login

PIN

Password

☐ Keep me signed in

Login

Welcome to the CII's Continuing Professional Development (CPD) scheme.

CPD ensures that you, as a CII/PFS member, keep your skills and knowledge up-to-date and, in turn, remain competent to perform your job. All CII-qualified members are obliged to comply with the scheme's requirements.

Done

Trusted sites 105% 14:26

start Microsoft PowerPoint ... Inbox - Microsoft Out... CII CPD - Windows In...

CII CPD - Windows Internet Explorer

http://www.cpdscheme.cii.co.uk/Home

Log out

CII

MENU

Guidance Notes ?

Guidance notes have been produced to help you meet the requirements of the CII/PFS CPD scheme (see below).

These set out what you need to do and, in turn, will ensure you satisfy the requirements as easily as possible.

Please read the guidance before using the CPD recording tools opposite. This will help you plan and record CPD activity, helping you to comply with the scheme requirements.

To view the Guidance Notes please click on the following link:

[Guidance for CII members \(including SMP\)](#)

[Guidance for PFS members](#)

[Frequently Asked Questions](#)

Continuing Professional Development ?

Current Status: Incomplete

6h 00m

You have not yet met the CPD requirement for the year

CPD year starting on 01/09/2012
There are 12 months left in your CPD year.

[View CPD Log](#) [Add CPD Activity](#)

CPD Category ?	CPD Hours
Structured	6h 00m
Unstructured	0h 00m

Personal Information ?

Name Stephen Aspinall

PIN

CPD Support ?

CII/PFS services to assist you in meeting your CPD needs:

[CPD events](#)
UK-wide CII/PFS and local institute events

[Faculties](#)
Sector/discipline specific forums

[Knowledge Services](#)
Technical and market information resource

[Face-to-face Training Courses](#)

Microsoft PowerPoint - [SME Roadshow 2012.ppt [Last saved by user]]

Trusted sites 105%

start Microsoft PowerPoint ... Inbox - Microsoft Out... CII CPD - Windows In...

14:27

Adding a CPD record

CII CPD - Windows Internet Explorer

http://www.cpdscheme.cii.co.uk/Home

★ Favorites ★ Intranet Home Page ★ Suggested Sites ★ Free Hotmail

CII CPD

Page Safety Tools

Add CPD Activity ?

[See example of completed CPD record](#)

Please complete all mandatory fields (*) to ensure your CPD is recorded as complete on the system.

Development Need ? *

Activity Name ? *

Activity Description/Learning Outcomes ?

Start Date ? **End Date ? *** **Status ?** **Time Spent ? ***

Completed ▾ 0 ▾ hours 00 ▾ minutes

CPD Activity Type ? * [See examples](#) **CPD Category ? ***

(Select) ▾ (Select) ▾

File Attachments ?

Browse...

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start Microsoft PowerPoint ... Inbox - Microsoft Out... CII CPD - Windows In...

14:22

CII CPD - Windows Internet Explorer

http://www.cpdscheme.cii.co.uk/CpdLog#

Development Need ? *

TCF refresher course

Activity Name ? *

TCF

Activity Description/Learning Outcomes ?

Oneday course to understand TCF requiremnets.

Start Date ?

24/09/2012

End Date ? *

24/09/2012

Status ?

Completed

Time Spent ? *

6 hours 00 minutes

CPD Activity Type ? *

Training courses and Workshops

CPD Category ? *

Structured

File Attachments ?

Browse...

Done

start

Microsoft PowerPoint ...

Inbox - Microsoft Out...

CII CPD - Windows In...

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105%

14:23

My CPD log

The screenshot shows the CII CPD website in a Windows Internet Explorer browser. The address bar displays <http://www.cpdscheme.cii.co.uk/Home>. The page features a purple header with the CII logo and a 'Log out' link. A navigation menu is visible below the header.

The main content area is divided into three columns:

- Guidance Notes**: Contains text explaining the CPD requirements and links to 'Guidance for CII members (including SMP)' and 'Guidance for PFS members'. It also includes a 'Frequently Asked Questions' section.
- Continuing Professional Development**: Displays the user's 'Current Status: Incomplete'. A progress bar shows 6h 00m completed. A message states: 'You have not yet met the CPD requirement for the year'. Below this, it indicates 'CPD year starting on 01/09/2012' and 'There are 12 months left in your CPD year.' There are buttons for 'View CPD Log' and 'Add CPD Activity'. A table shows the CPD log:

CPD Category	CPD Hours
Structured	6h 00m
Unstructured	0h 00m

- Personal Information**: Shows the user's name as 'Stephen Aspinall' and a PIN field.
- CPD Support**: Lists services to assist with CPD needs, including 'CPD events', 'Faculties', 'Knowledge Services', and 'Face-to-face Training Courses'.

The Windows taskbar at the bottom shows the Start button and several open applications: Microsoft PowerPoint, Inbox - Microsoft Outlook, and CII CPD - Windows Internet Explorer. The system clock shows 14:21.

CII Financial Assess

The screenshot shows a Windows Internet Explorer browser window displaying the CII Financial Assess website. The address bar shows the URL <https://www.financialassess.cii.co.uk/Home>. The browser's menu bar includes File, Edit, View, Favorites, Tools, and Help. The Favorites bar shows links to Intranet Home Page, Suggested Sites, and Free Hotmail. The taskbar at the bottom shows the Start button, several application icons, and the system tray with the time 09:22.

The website itself has a dark header with the "FINANCIALASSESS" logo in white and red. A "MENU" button is on the left, and a search bar with the text "find learning" is on the right. Below the header, the page is divided into several sections:

- Welcome**: A section with a list of actions: search for courses, register and access training, track your progress, record your achievements, and keep up with the news.
- To Do List**: A section with a single item: "An Introduction to Financial Assess Not Attempted".
- Find Learning**: A section with a list of learning opportunities: Financial ASSESS - Regulatory Essentials, Financial services, regulation and ethics, Investment principles and risk, Personal Taxation, and Pensions and retirement planning.
- Continuing Professional Development**: A section showing the "Current Status: Incomplete" and a progress bar.
- Support**: A section with "HELP" and "SUPPORT" buttons, accompanied by an image of a woman wearing a headset.

The bottom of the browser window shows a "Trusted sites" status bar and a "105%" zoom level.

ASSESS - Windows Internet Explorer

https://www.financialassess.cii.co.uk/Catalogue

File Edit View Favorites Tools Help

Favorites Intranet Home Page Suggested Sites Free Hotmail

FLYB.L: 57.00 -2.38 (-4.00%...) ASSESS

Page Safety Tools

FINANCIALASSESS

Home Log out

MENU Find Learning find learning

Search

By event dates

Course Format

- Assessment (47)
- Learning (81)
- Reference (142)

Library

- Financial ASSESS - Regulatory Essentials**
Courses and assessments covering the key aspects of the regulatory framework for financial advisers.
- Financial services, regulation and ethics**
Financial services industry, including regulation, legislation and the Code of Ethics.
- Investment principles and risk**
Investment products and the application of the investment advice process.
- Personal Taxation**
UK taxation system including the taxation treatment of individuals and trusts during the investment advice process.
- Pension and retirement planning**
Pension and retirement planning issues.
- Financial protection**
This section will help you gain an understanding of and skills to deliver Financial Protection

Done

start

ASSESS - Windows In... SME Roadshow 2012,...

Trusted sites 105% 09:22

ASSESS - Windows Internet Explorer

https://www.financialassess.cii.co.uk/Catalogue?s=&cn=5f111225-0d3f-45d4-84bc-a05c00d43569&r=&a=False&f=&t=&o=&j=

File Edit View Favorites Tools Help

★ Favorites | ★ Intranet Home Page | Suggested Sites | Free Hotmail

FLYB.L: 57.00 -2.38 (-4.00%) | ASSESS

Profile | Log out

FINANCIALASSESS

HOME MENU Find Learning Investment principles and.. find learning

Search

☐ By event dates

ApEx related

- ApEx - Core (19)
- Course Level
- Intermediate (19)
- Course Format
- Reference (19)

Investment Principles and Risk

- 2.1 The characteristics, inherent risks, behaviour and correlation of asset classes
- 2.2 Demonstrate an understanding of the macro-economic environment and its impact on asset classes
- 2.3 The merits and limitations of the main investment theories
- 2.4 Apply the principles of the time value of money
- 2.5 The nature and impact of the main types of risk on investment performance
- 2.6 Character, tax treatment, investment products inherent risk

Done

Trusted sites 105%

start ASSESS - Windows In... SME Roadshow 2012.... 09:23

ASSESS - Windows Internet Explorer

https://www.financialassess.cii.co.uk/?courseId=67c446ee-f7ef-4cd5-987f-a0650126c343&personId=0 - Windows Internet Explorer

Page 1 of 7

2.6.2 Collectives

- 1 ☒ 2.6.2.1 OEICs
- 2 ☐ 2.6.2.2 Unit trusts
- 3 ☐ 2.6.2.3 Closed ended funds
- 4 ☐ 2.6.2.4 Property vehicles
- 5 ☐ 2.6.2.5 Exchange traded products
- 6 ☐ 2.6.2.6 Broker funds and distributor influenced funds
- 7 ☐ 2.6.2.7 UCIS

2.6.2.1 OEICs

a) Basic structure

An OEIC is an investment company, in which the underlying assets are held by an independent depositary rather than a trustee. The management of the OEIC is in the hands of the authorised corporate director (ACD). OEICs generally have a different pricing structure from unit trusts and their investment powers were, until quite recently, more restrictive.

The OEIC structure, which is more akin to the European model, has now become the more common type seen. Most new funds are launched as OEICs and many existing unit trusts have converted to OEICs; OEICs currently account for around 64% of IMA open-ended funds by value and 70% by number.

b) Charges

Collective fund charges vary considerably and in a low return environment their level can have a major impact on investors' returns. There are two main charges levied by both OEICs and unit trusts:

The initial charge

The initial charge is paid when shares/units in the fund are purchased. This is currently levied in different ways between OEICs and unit trusts, but the absolute levels across the market are similar. Initial charges range between 0% (usually for tracker funds) to 6%. The initial charge is used to meet marketing costs, mainly commission.

A small number of funds levy no initial charge, but have a higher annual charge and levy sliding penalties for encashment during the first few years of investment.

The annual management charge

The annual management charge (AMC) is levied throughout the life of the fund. This meets the costs of managing and

Print All Print Current Write a comment

Previous Next

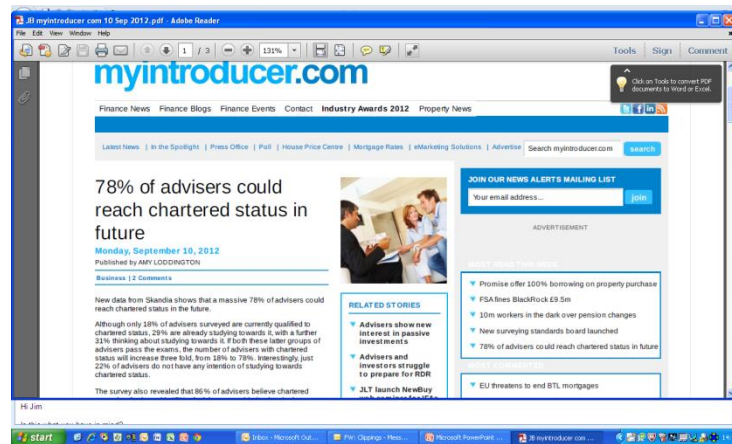
105% 09:25

Company reporting

- With Financial Assess you have the capability to produce a wide range of company reports.
- Track progress of your advisers.
- Set assessments.
- Monitor progress of their online learning.
- Supervisors have access to their advisers MI.

Chartered Status – is it for you ?

Chartered Status



CII Chartered Financial Planner Status

- QCF Level 6 from Level 4
- 290 CII credits (min 120 using the Advanced subjects)
- Application / Planning papers using the technical knowledge from Level 4
- Minimum 5 years industry experience

CII financial advice qualifications

Advanced Diploma in Financial Planning

(AF2) Business financial planning – 30 Credits

(AF4) Investment planning – 30 Credits

NEW (AF6) Senior management and supervision – 30 Credits

(AF1) Personal tax and trust planning – 30 Credits

(AF3) Pension planning – 30 Credits

(AF5) Financial planning process – 30 Credits

Diploma in Regulated Financial Planning/Diploma in Financial Planning (including Paraplanning and Discretionary investment planning)

(R06) Financial planning practice – 30 Credits

(J06) Investment principles, markets and environment – 20 Credits

(R05) Financial protection – 10 Certificate Credits

(J05) Pension income options – 20 Credits

(R04) Pensions and retirement planning – 10 Credits

(J04) Pension funding options – 20 Credits

NEW (J11) Wrap and platform services – 20 Credits

(R03) Personal taxation – 10 Credits

(J03) The tax and legal aspects of business – 20 Credits

NEW (J10) Discretionary investment management – 20 Credits

(R02) Investment principles and risk – 20 Credits

(J02) Trusts – 20 Credits

NEW (J09) Paraplanning – 30 Credits

(R01) Financial services, regulation and ethics – 20 Credits

(J01) Personal tax – 20 Credits

(J07) Supervision in a regulated environment – 20 Credits

Certificate in Financial Planning (including Mortgage advice, Equity release, Long term care insurance and Group risk options)

(R05) Financial protection – 10 Credits

(GR1) Group risk – 10 Credits

(CF2) Investment and risk – 20 Credits

(CF5) Integrated financial planning – 15 Credits

(ER1) Equity release – 15 Credits

(CF1) UK financial services regulation and ethics – 15 Credits

(CF4) Retirement planning – 10 Credits

(CF8) Long term care insurance – 15 Credits

(CF6) Mortgage advice – 20 Credits

Have you considered Corporate Chartered Firm Status ?

Obligatory requirements

- A minimum of one of your firm's board members must personally hold the CII Chartered Financial Planner title.
- One of your firm's board (who, as an individual, holds the Chartered Financial Planner title), must take on the role of Responsible Member
- The entire board, together with a minimum of 90% of customer-facing staff, must be members of the Personal Finance Society/CII.
- A full financial planning service must be offered and access to a Chartered Financial Planner must be available
- The firm must have an appropriate professional development programme in place and provide evidence of this with the application.

Chartered Firm Status

Points attained from a number of options:-

- Board members holding the Chartered Financial Planner title
- Board members holding the Diploma in Financial Planning
- Financial advisers holding the Chartered Financial Planner title (excluding any financial advisers included under the category 'board member' above)
- Financial advisers holding the Diploma in Financial Planning (excluding any financial advisers in respect of whom credits have been applied for under any of the criteria above)
- Non-advisory staff holding CII qualifications

Corporate Chartered Firms

- Currently 425 financial planning firms across the UK, are you one of them or is competition one of them ?
- Professional firm introductions, Chartered Accountants looking for Chartered Financial Planning firms to refer clients.

PFS Membership

Our mission is to lead the financial planning community towards higher levels of professionalism, exhibited through ethical and behavioural standards, skill and technical knowledge to the ultimate benefit of the profession and the consumer alike.

The Personal Finance Society - Windows Internet Explorer

http://www.thepfs.org/

Log in | Sign up

Advanced search

The Personal Finance Society
Standards | Professionalism | Trust

Home About Membership CPD Events Knowledge Qualifications My PFS

Proudly professional

Standards Professionalism Trust - Our membership is comprised of financial planners, paraplanners and others who support the financial planning community.
[Read more »](#)

Quick Links

- [New rules for CPD »](#)
- [RDR readiness questionnaire »](#)
- [Gap fill tool »](#)
- [Gap Fill Library »](#)
- [Member search »](#)
- [SPS application centre »](#)

Upcoming events

Wednesday 19 September 2012
PFS Area Conference, Q3, 2012, Birmingham/East Midlands/Staffs & Shrops »

Thursday 20 September 2012
PFS Area Conference, Q3, 2012, Yorkshire/Tyne Tees »

Friday 28 September 2012
Crossing the RDR Rubicon, London »

[View CPD events »](#)

Chartered Status

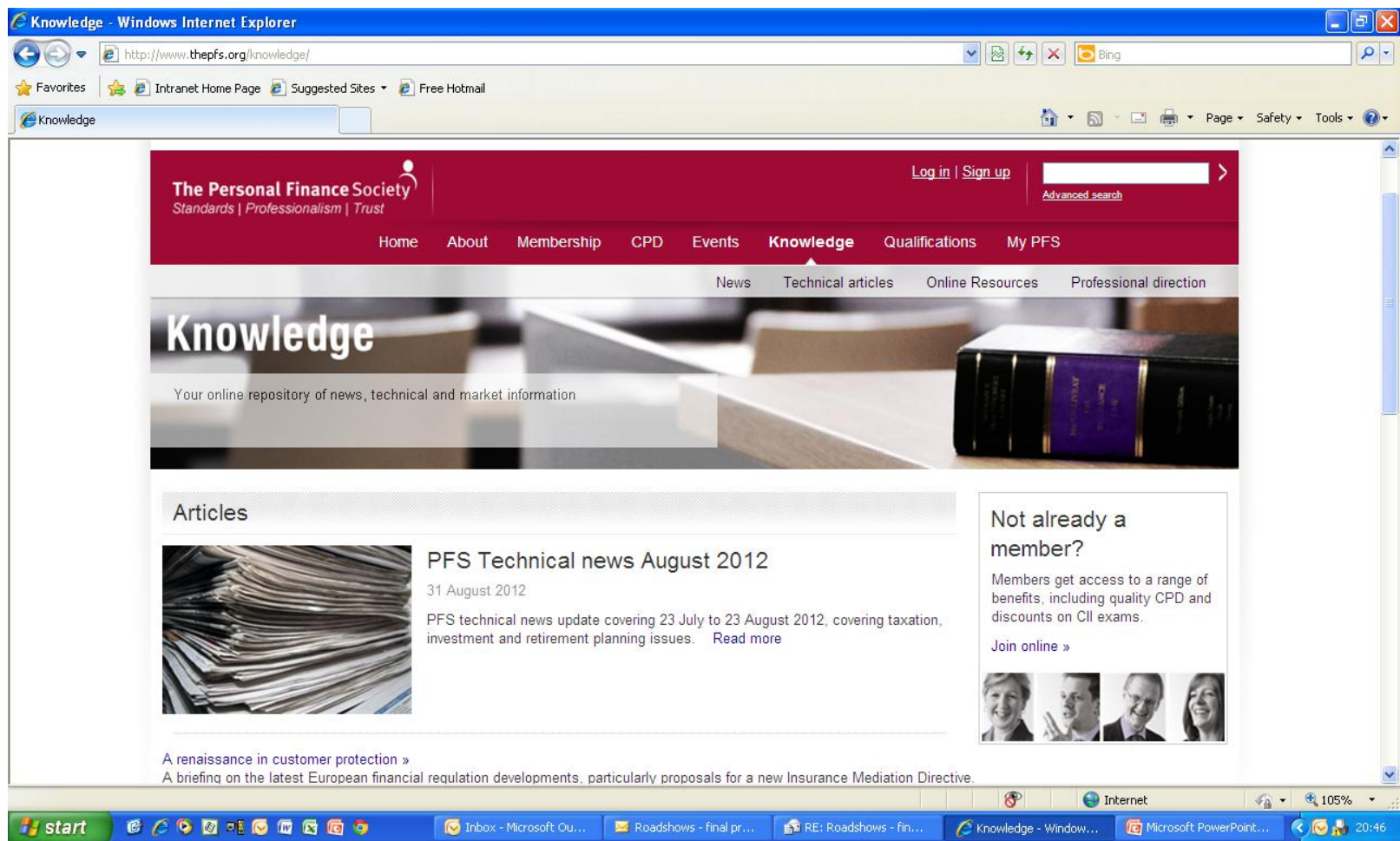
Free and unlimited legal advice, from qualified lawyers, is available to all CII Chartered members. [Read more »](#)

Done

start

Inbox - Microsoft Out... Roadshows - final pre... RE: Roadshows - fina... The Personal Finance...

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PFS Local Institutes: events - Windows Internet Explorer

http://www.thepfs.org/web/app/events/cpd_events.aspx

Log in | Sign up


Advanced search

Home About Membership CPD Events Knowledge Qualifications My PFS

PFS Annual Conference Regional Conferences Chartered Events Roadshows Technical Conferences Soft Skills Training

CPD Event Calendar

CII members can now search a comprehensive range of events, regionally and nationally, using the calendar below. The calendar includes events organised by the CII, the Personal Finance Society and the local institutes. Attendance at these events can be included as part of your CII CPD requirements should you consider it relevant to your professional development needs.



Event Search

Region/Area :
 or click via map

From date:
 [Select date](#)

To date:
 [Select date](#)

Event type:

Organiser:

Done

Internet 105%

start

Inbox - Microsoft Ou... Roadshows - final pr... RE: Roadshows - fin... PFS Local Institutes: ... Microsoft PowerPoint...

20:47

Membership benefits

“The regional event programme has given me the knowledge and confidence to improve my service offering.”

Philip Piggins MSc, Cert PFS



Development

- Professional learning doesn't stop after exams – ensuring you remain competent and up-to-date is the true mark of a professional. Membership is your way to stay in touch and stay ahead. Qualified membership of the Personal Finance Society requires a **commitment to Continuing Professional Development (CPD)**.
- As a member you are invited to attend regional and national events focused on improving your skills and knowledge and helping you become the best at what you do. You can expect to **fulfill a significant amount of your annual CPD commitment** by participating in our events or online activities.
- **Every quarter members have access to a free regional conference** that provides training on a hot-topic subject. Members also get the opportunity to apply knowledge through a facilitated case study, as well as sharing best practice with their peers. These events offer practical support and development to members, who leave armed with plenty of new ideas that they can immediately put into practice. Many of our face-to-face events are now filmed and shared with members via our website.

Membership benefits

Standards / designations

“The PFS has helped me demonstrate my professionalism.”
Chris Denham, Dip PFS



- As a member you have your part to play by demonstrating **high standards of professionalism**.
- Members of the Personal Finance Society commit to a **Code of Ethics**, intended to demonstrate the highest professional and ethical standards.
- Many aspects of the Code are good business practice. It deals with law, culture and different stakeholder relationships and includes guidance on:
 - Behaving responsibly and with integrity
 - Being fair and trustworthy
 - Demonstrating competence and due care
 - Respecting confidentiality
 - Applying objectivity in professional judgement
- As a member you have access to client-facing literature that explains the importance of your membership of the UK's leading professional body.

Membership benefits

Networking

- One of the most valuable benefits you will enjoy as a member of the Personal Finance Society will be the **support and guidance offered by fellow members**. Whether through the conferences, study groups or participation in technical case studies you will improve your understanding of key technical subjects, as well as learning about new ideas that you can apply in your role.
- The Personal Finance Society **has 27 regions**, run by enthusiastic member volunteers. Attending regional events are a great way to stay up to date, network with fellow professionals and learn something new. What's more, attendance at such events can count towards your CPD.

“Being able to network with like minded people has been key to my development.”
Harriet Trendell, Cert PFS



So please join and benefit from everything that is available to you as a member.

Things we covered today

- CII Statement of Professional Standing process
- CPD – what is required . . . and importantly what isn't
- Chartered Status is it for you ?
- CII PFS Membership – what's included

- Open the floor to questions but both Jim and I are around until 11.00am for any 121 questions you may have.

Questions ?