

September 2023 Examination - J07 Supervision in a regulated environment

Question Number	Syllabus learning outcomes being examined	
1.	1.2	The key functions and responsibilities of businesses and senior management.
2.	1.3	How the role of the manager fits into the organisation overall.
3.	2.2	Change management and the application of Kotter's eight step change model.
4.	3.2	The characteristics and benefits of effective communication and barriers to communication.
5.	4.4	The 'fit and proper' regulatory requirements.
6.	5.2	The four stages of the training cycle.
7.	5.3	The relevance to individual and corporate objectives of structured training and development plans.
8.	7.3	The key stages of the financial planning meeting.
9.	7.4	Financial crime prevention and data protection regulation.
10.	8.1	How individual and corporate objectives relate.
11.	6.1	The key features and applications of a coaching process, e.g. the GROW and PESOS models.
12.	8.4	The performance review and appraisal process.
13.	4.3	Competency based interviewing.
14.	9.3	Clarity of management information, e.g. exception reports and their uses.
15.	10.5	Relevant aspects of the law on equal opportunities, discrimination, disability and human rights.