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Spotlight:

ESG and Sustainable Investment Advice

Course Overview

The Consumer Duty increases the responsibility of advisers to support clients with a full range of solutions, including how they may respond to their ESG preferences.

This introductory course has been authored by experts who have worked in advising, compliance, supervision and paraplanning across a range of firms. It places adviser firms and their clients at the heart of the content and will furnish you with a solid understanding of sustainable finance and how you can use it to assist your own clients.

Who is the course for?

This course is designed for Personal Finance professionals who wish to understand more about sustainable finance to:

- inform their day-to-day roles;
- support Consumer Duty implementation by ensuring clients can be provided with comprehensive education and exploration of their objectives, leading to good outcomes; and
- incorporate sustainable investment advice throughout their business and show good practice and leadership.

Market Context

The sustainable or ‘green’ finance market has been growing exponentially for a number of years, increasing from $5.2bn in 2012 to $540.6bn in 2021.* This rapid expansion has led to continual legislative and regulatory changes, such as the Sustainability Disclosure Requirements (SDR) and anti-greenwashing rules from the FCA.

There is a need for clients’ sustainable investment preferences to be met, coupled with the importance of adhering to regulatory requirements in a changing professional landscape. As a result, it’s crucial for those working in Personal Finance to understand the fundamentals of sustainable finance and how it affects both clients and the wider profession.

*TheCityUK

Learning Outcomes

By the end of this course, you will be able to:

- Understand the nature and complexity of sustainable finance.
- Recognise how the global transition to a sustainable economy affects the whole financial system, bringing risk, costs, opportunity and potential reward.
- Understand how engaging with sustainability can be important to clients and the outcomes they wish to see their investments achieve.
- Identify sustainable and ethical products and services available. Discuss their uses, and the advantages and disadvantages of different strategies.
- Apply practical skills relating to investment selection, client education and communication to help deliver good client outcomes.
- Apply understanding of sustainability issues and investment choices to empower and assist clients.
- Apply your knowledge of investment problems and solutions to build a resilient, high-quality ESG/sustainable advice proposition.

Learning Modules

The learning is divided into four topics: **Background to ESG**, **Investing**, **Clients** and **Leadership**. Within these topics, there are 13 modules covering topics such as:

- ESG (climate change/net zero/social justice/corporate accountability, etc.).
- The role and structure of finance in relation to sustainability in today's world.
- Designing and constructing sustainable portfolios, including implications for both risk and return.
- How to explore client preferences, motivation, education, and engagement.
- How advising on ESG feeds into the Consumer Duty.
- Sustainable legislation and regulation – how it can boost and not block a business.
- How incorporating sustainable finance can be an opportunity for your business to demonstrate leadership, alongside insights from leaders already doing this successfully.

Study

- 12 hours study time.
- 12-month enrolment on the course.
- Online course delivered on RevisionMate using e-learning modules.

Assessment

A final online assessment, at the end of the course, of 30 multiple-choice questions (up to three attempts to pass to be eligible for the completion certificate).

Completion Certificate

A certificate will be available to download after successful completion of the final assessment.

CPD Accreditation

The Spotlight: ESG and Sustainable Investment Advice course meets the Chartered Insurance Institute and membership requirements for 12 hours of CPD.

Price

Members: £120 incl. VAT

Non-members: £165 incl. VAT

How to enrol

To enrol, visit our website here

Contact Customer Service
Call +44 (0)20 8989 8464
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For business enquiries
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