

October 2018 Examination – J11 Wrap and Platform Services

Syllabus learning outcomes being examined

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| 1. | 1. Explain the use of platforms in providing financial planning services. |
| 2. | 2. Explain the regulatory and legal context of platforms. |
| 3. | 1. Explain the use of platforms in providing financial planning services. |
| 4. | 2. Explain the regulatory and legal context of platforms. |
| 5. | 3. Explain the charging structures and costs for using platforms. |
| 6. | 1. Explain the use of platforms in providing financial planning services. 4. Assess the appropriateness and operation of platforms in meeting client requirements. |
| 7. | 1. Explain the use of platforms in providing financial planning services. 4. Assess the appropriateness and operation of platforms in meeting client requirements. |
| 8. | 4. Assess the appropriateness and operation of platforms in meeting client requirements. |