

R01: *Financial services, regulation and ethics* study text, 2017–18 edition

This edition of the study text is based on the R01 examination syllabus and forms the study material for exams to be sat from 1 September 2017 until 31 August 2018.

This new edition reflects the 2017/18 tax year. Updates and amendments have been made throughout the text; where relevant, web updates issued for the 2016–17 edition have been included.

A summary of where the principal changes have been made is given below:

Chapter 1: chapter title amended; section C.

Chapter 2: chapter and section titles amended; sections B4B, B6B, B7A, B8, B9, B10.

Chapter 3: chapter title amended; section F4.

Chapter 4: new sections A3, B5; sections C, D2; new section D4.

Chapter 5.1: section A title amended; sections A, A1, A2, D1.

Chapter 5.2: sections F1C, F3A, G1, H3A, K1.

Chapter 6: sections E2, F1, H; new section I.

Chapter 7: sections A1, A2A, A6A; new sections A7, B5, B6, C2.

Chapter 8: section A4; new section D2.

Chapter 9: sections B2, C1, C4.

Chapter 10: learning objectives; sections A1, A4, A5, B3, C1, C2, D.

Questions/answers, websites, key terms/points, cases, statutes and index: amended where relevant.