

CII recognition of prior learning scheme rules

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The Chartered Insurance Institute (CII) Professionalism in practice

As the premier professional body for the financial planning professions, the CII promotes higher standards of integrity, technical competence and business capability.

With over 120,000 members in more than 150 countries, the CII is the world's largest professional body dedicated to these sectors.

Success in CII qualifications is universally recognised as evidence of knowledge and understanding. Membership of the CII signals a desire to develop broad professional capability and subscribe to the standards associated with professional status.

The CII works with businesses to develop bespoke, company-wide solutions that ensure competitive advantage by enhancing employees' technical and professional competence.

Individually, CII's members are able to drive their personal development and maintain their professional standing through an unrivalled range of learning services and by adhering to the CII's Code of Ethics.



Contacting the CII

If you have any queries regarding the information in this brochure please contact Customer Service.

The CII is committed to delivering a first-class service and, to this end, we welcome feedback on any aspect of your relationship with our organisation.

Please forward any views you may have on the service you receive, whether they are positive or otherwise.

We take all such comments seriously, answer them individually, and use them to help ensure that we continually improve the service we provide.

Customer Service

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Introduction

Overview

The CII operates an extensive recognition of prior learning scheme and recognises certified learning for both academic and professional qualifications that you have completed, which are sufficiently similar to CII qualification units ('units').

The CII awards credits and/or exemptions towards the CII qualifications framework in recognition of prior learning for completed non CII qualifications.

Value for the student

Recognition of prior learning by the CII avoids duplication of study and enables students to achieve CII qualifications within a shorter study period and therefore at a reduced cost compared with an 'exclusively by examination' route.

Governance

The CII recognition of prior learning scheme is overseen by an independent body which reports into the CII Professional Standards Board. This independent body is the Qualifications, Examinations and Assessments Committee whose members work within academia and the insurance and financial services sector. The members are not employed by the CII and provide independent judgements based on industry and academic practice.

The CII is an awarding body, recognised by the Office for Qualifications and Examinations Regulation (Ofqual).

Awards

The principle of CII recognition of prior learning is to recognise duplicate learning by awarding credits in the CII qualification frameworks; this means that in practice the CII awards an exemption for the closest matching CII exam unit. If there is not a close match then the CII may, on occasions, consider a discretionary 'non-unit specific credit award' to recognise equivalent technical learning if the learning is relevant to insurance or financial planning and the learning and assessment is of an equivalent standard to the CII exams.

CII recognition of prior learning scheme standards

In order to be eligible for recognition of prior learning, the non-CII exam(s)/qualification must be 'certified learning' and must match a current CII unit. Certified learning means that there has been a formal examination and the awarding body must be recognised by a national examinations regulator.

Recognition of prior learning for qualifications awarded by professional bodies and CII-affiliated and associated institutes

1. The qualification must match the learning outcomes of the CII exam unit.
2. The assessment method must be of a similar standard, scope and rigour to the CII exam unit.
3. The qualification must be at the appropriate level in a UK national framework, for example, **RQF** (Regulated Qualifications Framework), QCF, NQF, SCQF, CQFW or FHEQ.
4. The awarding body must be recognised by **UK NARIC** if located outside the UK.
5. The awarding body must be recognised for its qualifications by the market regulator if outside the UK.
6. Applications may be accepted on an exam by exam basis where they are based on common sets of standards, for example, the UK Financial Services regulatory exam standards.
7. The awarding body must operate to similar standards of integrity and governance to the CII.

Recognition of prior learning for academic and other qualifications

1. The qualification must have been completed within the last 10 years.
2. The qualification must match the learning outcomes of a current CII exam unit.
3. The assessment method must be of a similar standard, scope and rigour to the CII exam unit.
4. The qualification must be at the appropriate level in a UK national framework, for example, **RQF** (Regulated Qualifications Framework) QCF, NQF, SCQF, CQFW or FHEQ.
5. The awarding body must be recognised by **UK NARIC** if located outside the UK.
6. Applications are only accepted for fully completed and awarded qualifications.
7. The awarding body must operate to similar standards of integrity and governance to the CII.

Scheme operating rules

1. A fully completed CII application form must be submitted for each application. This must include the certificate of completion and the qualification results transcript. Where a fully completed application form has not been submitted, this will be classed as an enquiry and any indication of award will not be definitive and is subject to change without notice. By completing the application form the applicant expressly consents for the CII to contact any awarding body to verify the applicant's qualification status.
2. The award decision will be based upon the scope and standard of information submitted at the time of the application.
3. The applicant is responsible for submitting all necessary documents with the application form in a timely manner for processing. For example, if additional information is required it must be received by the CII within six months of the application date. The CII will not reimburse costs associated with the provision of any information required to support applications.
4. Where the CII makes a discretionary recognition of prior learning award based on benchmarking against other qualifications (for example, where the applicant is unable to provide syllabus and assessment material for CII to review) and the applicant is subsequently able to provide this material, no consideration of the award will be given or made unless the material is received by the CII within six months of the date CII confirms the applicant's eligibility to the original recognition of prior learning award.
5. Applications must be made in English. Where the original language of the documentation is not English, the CII may request official translations into English before a review is completed.
6. Save for rule 4 (benchmarking) and rule 19 (appeals) the decision or award made will be final.
7. Unless otherwise authorised in these rules, once an award eligibility decision has been made no subsequent application or request for a change in the award for the same examination/qualification will be considered.
8. Awards will not be made for prior learning exemptions awarded by other awarding organisations. The CII will, however, review the potential for an award based on the original qualification.
9. Awards will be made for units that are a) within the CII examinations framework and b) meet the recognition of prior learning eligibility criteria in place at the time of the application decision.
10. A maximum of 210 credits may be awarded for qualifications from a single awarding body, with a maximum of 260 credits awarded for prior learning in total. Where an applicant's exams would make them eligible to a figure in excess of the limit, the CII will not make partial allocations of credits. For example, an applicant with 200 credits for prior learning from a single awarding body who passes an additional exam with the same body attracting 20 credits, would not be eligible to claim just 10 of the credits. Where an applicant's potential award exceeds these limits, CII will award core units and exemptions as appropriate before non unit-specific credits.
11. A maximum credit limit is available to recognise equivalent technical learning, for example business, law and mathematics. These credit limits are:

Credits recognising	Maximum RPL credits
Business, accounting, finance, economics, management, financial services	85
Marketing	30
Law	55
Mathematics, statistics, actuarial and investment analysis	30
Risk management	85
12. Recognition of prior learning does not alter the CII qualification completion rules (including any rules around exam units treated as equivalent) which must be complied with in order to be awarded a CII qualification. These rules apply irrespective of how many credits your existing qualifications might provide through recognition of prior learning.
13. Recognition of prior learning will be withdrawn if fraudulent documentation or misleading information is submitted; and this may result in disciplinary action from the CII.

Scheme operating rules continued

14. Recognition of prior learning will be withdrawn in the event that an awarding body: does not ensure that its qualifications are up to date or comply with regulatory requirements (for example, education regulator or market regulator); misrepresents qualifications or professional designations; or does not follow the CII's eligibility criteria, guidance or scheme standards.
15. CII policy is to award credits for units that are within the CII qualifications framework at the time of application. In order to ensure flexibility to candidates we allow applications up to three months after withdrawal of a CII exam unit. For example, for candidates applying for credits in respect of an exam unit where the last exam sitting is scheduled for October 2016, we will no longer award credits for applications made after 31 December 2016.
16. Where the CII launches a new exam unit, the first date of a recognition of prior learning application that may be eligible for an exemption is the date of the first exam sitting.
17. Where the award of an exam unit is made through recognition of prior learning after the applicant has booked to sit the exam or purchased learning material the CII will not be liable for a refund.
18. The CII may amend or withdraw credits previously awarded for prior learning at any time and without monetary compensation. The CII cannot accept liability for special losses or special damages of any kind arising out of the award or withdrawal of credit awards for prior learning. Please see the final question on our [FAQs page](#) for further details.
19. The [recognition of prior learning scheme appeals process](#) is intended for consideration of circumstances that materially affect a candidate's recognition of prior learning outcome where all other routes for enquiries have been exhausted, for example, [the CII complaints process](#)
20. These rules update, supersede and extinguish all previous versions of the rules of the CII's recognition of prior learning scheme.
21. The CII is not liable for inaccurate or obsolete statements relating to prior learning, exams or designations made by organisations other than the CII.
22. The CII recognition of prior learning scheme is governed by English law.

The application process

1. Complete the application form and ensure that you include the qualification completion certificate and results transcript. These can be certified copies and certifying details can be found on the last page of the application form (remember – you should ensure that the person certifying any copies of qualification completion certificates or results transcripts is a current member of the CII or Personal Finance Society. If their membership has lapsed we will not be able to accept the evidence). You can use the CII member search facility to identify whether an individual's member status is current. Please visit www.cii.co.uk/membersearch
2. Return the application form and supporting evidence to CII Customer Service at
The Chartered Insurance Institute
42–48 High Road
South Woodford
E18 2JP
3. If the qualification has recently been reviewed by the CII, provided you have submitted all the application information, you will normally receive confirmation of your award eligibility within 15 working days.
4. If the qualification has not recently been reviewed by the CII we will ask you to provide full details of the learning outcomes (syllabus), assessment criteria, learning content and assessment method, including specimen exam questions and answers. This is required so that our assessor can map your qualification submission against CII exam units and compare syllabus and testing standards. Applications will be held open for a period of six months to allow for the information to be provided. Please only supply this information if we specifically request it and supply in a full single submission.
5. On receipt of the requested information, the assessor will review your submission to determine whether the recognition of prior learning standards have been fully met. We will confirm your review outcome. This will normally take six to eight weeks. If we think this may take longer we will advise you.
6. Recognition of prior learning is awarded according to the eligibility criteria in place at the time of the application decision; this occasionally results in the final decision differing from any initial enquiry information provided.

Fees

An administration fee is required to contribute to the review work. This includes:

- assessment and validation of the application
- assessment of the award eligibility and issuing confirmation
- processing application payments.

	CII/PFS member	Non-member
Fee per credit awarded	£4	£7

Please note: you should not send payment along with your application form. If you are granted any credits you will be advised of the fee payable. Fees are reviewed regularly and the fee payable is the fee in force at the time of payment.

The application process continued

Claiming the award

1. Please check the qualification completion rules www.cii.co.uk/qualifications to identify how your award would support your route to achieving your desired qualification.
2. You will need to contact the Customer Service team to pay for your credits. The quickest way is by telephone on UK + 44 (0) 20 8989 8464, if you are paying by credit/debit card. Other payment options include corporate account, bank transfer, cheque and fax. Please have details of your accreditation award to hand or, if posting to us, enclose a copy with payment.
3. After payment, you can access your updated learning statement via the CII website at www.cii.co.uk/learningstatement
4. If you have completed a CII qualification, your completion certificate will be dispatched within 10 working days.

Timeframes

1. Applications – if insufficient evidence is submitted within the 6 month period allowed for providing additional evidence, you will need to resubmit a new application with all supporting evidence. This is due to the fact that qualifications (and, therefore, awards) change and, in order to adhere to any changes that have occurred, a new review would need to be carried out.
2. Claiming credits – you have 6 months from the date of your confirmation letter to pay the accreditation fee. Failure to do so may invalidate your award.

Changes to the CII qualifications framework

The CII qualifications framework is evolving in response to the regulatory environment, changes in education regulation standards and customer need. As a consequence accreditation awards and accreditation criteria do change.

Confirmed application outcomes

If an accreditation award has been declined or an award has already been made and confirmed in writing, provided that the accreditation fee has been paid within the stipulated timeframe, the decision or award stands regardless of subsequent changes to the CII qualification frameworks.

Enquiries

If you have any queries please consult the following [FAQs](#)

If you cannot find the answer to your query, please do contact our Customer Service team who will be pleased to assist you. Their contact details are:

Telephone: UK +44 (0)20 8989 8464

Email: customer.serv@cii.co.uk

Appeals

Should you wish to appeal against the outcome of an accreditation award, further details about the grounds for appeal, process and fee can be found [here](#).